

**JAYASHREE B. GOKHALÉ**  
**Professor of Practice, Wake Forest University School of Law**  
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#### PROFESSIONAL EXPERIENCE

**Jan. 2014---Present.**      *Professor of Practice, Wake Forest University School of Law*  
*Winston-Salem, NC*

Teach courses on Broker Dealer Regulation, Business Drafting, and Securities Regulation.

**July 2001----January 2014**    *Senior Attorney and Counsel, Office of Disciplinary Affairs, FINRA.*  
*1735 K St. NW, Washington, DC 20006*

Reviewed, authorized, and provided guidance to Enforcement attorneys as to proposed disciplinary actions involving violations of FINRA Rules and the federal securities laws; developed regulatory policy in conjunction with other FINRA departments.

*Honors:* Excellence in Service Award, March 2006

**July 1999---July 2001**      *Senior Attorney, Enforcement, NASD Regulation, Inc.,*  
*1801 K St. NW, Washington, DC 20006*

Litigated disciplinary actions involving violations of NASD Rules and the federal securities laws.

**Aug. 1998-July 1999**      *Assistant City Solicitor, City Solicitor's Office, Wilmington, DE*  
Litigated commercial, labor, civil rights, and environmental cases; drafted legislation; participated in policy-making with Mayor, Executive Staff, and Department Heads.

**Oct. 1996-Mar. 1998**      *E.I. DuPont de Nemours Corp., Wilmington, DE;*  
*Sarnoff Corp., Princeton, NJ; Spector Gadon & Rosen and*  
*Donovan Miller, Philadelphia, PA*

Projects: antitrust matters; environmental matters; securities litigation; counseling on corporate procedures and government contracts.

**Oct. 1990-Aug. 1996**      *Skadden, Arps, Slate, Meagher & Flom LLP, Wilmington, DE*

Litigation Practice in securities, corporate, insurance coverage, products liability, mergers & acquisitions, and white-collar criminal matters.

#### EDUCATION

*LL.M. in Securities Regulation, 2003, Georgetown University Law Center,*  
*Washington, DC*

*Honors:* Distinction

*J.D., 1990, Columbia University School of Law, New York, NY*

*Honors:* Harlan Fiske Stone Scholar; Articles Editor, Columbia Journal of  
Environmental Law

*Ph.D., 1980, McGill University, Montreal, Québec, Canada*

*Honors:* Dean's Honours

*M.A., 1971, Cornell University, Ithaca, NY*

*B.A., 1967, Wake Forest University, Winston-Salem, NC*

*Honors:* Magna cum Laude, Phi Beta Kappa

#### SELECTED PUBLICATIONS AND PRESENTATIONS

*The Just State, Buddhism, and Asoka*, Indian International Journal of Buddhist Studies, No. 8  
(2007)

*Hedge to Arrive Contracts: Futures or Forwards*, Drake Law Review, v. 53, no. 1 (Fall 2004)

*The Role of a Municipal Lawyer in Brownfields Transactions:* Presentation, International  
Municipal Lawyers Association Mid-Year Conference, April 1999

*Review by Extraordinary Writ in Civil Cases*, Federal Appellate Procedure; Third Circuit (Hon.  
Jane R. Roth, Goldberger and McGough eds.), Lawyers Cooperative Federal Practice Guide, 1996

*From Concessions to Confrontation: The Politics of an Indian Untouchable Community*,  
Popular Prakashan, Bombay, 1993

#### BAR ADMISSIONS

Delaware (1991); District of Columbia (1993)

#### TEACHING AND RESEARCH EXPERIENCE

Taught International Relations and Foreign Policy, Comparative Politics, European History and South and Southeast Asian History and Politics at the university level; field research experience resulting in publications and presentations at national and international conferences; language skills in South Asian and European languages.